ITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS

RIGGSBY ET AL.

ART GROUP:

2631

APPL. NO.: FILED:

10/825,994

**EXAMINER:** 

**UNKNOWN** 

APRIL 16, 2004

DOCKET NO .:

A-9512

TITLE:

DEVICE INCLUDING AN EQUALIZER AND AN AMPLIFICATION CHAIN FOR BROADBAND INTEGRATED CIRCUIT APPLICATIONS

August 09, 2005

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing in the above-identified patent application, please find:

2 Page Information Disclosure Statement w/Transmittals

Form PTO-1449

3. Copy of Cited Art EP 1098435

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Respectfully submitted:

**SEND CORRESPONDENCE TO:** 

Scientific-Atlanta, Inc.

Intellectual Property Dept. MS 4.3.510

5030 Sugarloaf Parkway

Lawrenceville, GA 30044

Wm. Brook Lafferty

Attorney of Record Reg. No.: 39,259

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On August 09, 2005

Docket No.: A-9512

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A-9512

TITLE:

DEVICE INCLUDING AN EQUALIZER AND AN AMPLIFICATION CHAIN FOR BROADBAND INTEGRATED CIRCUIT APPLICATIONS

May 19, 2005

6. US 6721427

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

| 1.98, a | This in nd speci   |                       | on disclosure sta                                    | atement is filed in  | accordance with 37 C.F.R. §§ 1.56, 1.97, an  | ıd |  |  |  |
|---------|--|-----------------------|--|--|--|----|--|--|--|
|         |  | (within               |  | of filing national   | application; or date of entry of international application on the merits; whichever occur                                    |    |  |  |  |
|         |  | under 3               | Statement Unde<br>a \$180.00 fee u<br>(After the CFR | ogether with either<br>er 37 C.F.R. 1.97(e<br>ander 37 CFR 1.17(<br>1.97(b) time perio<br>chever occurs first) | e), or<br>(p), or<br>od, but before the final office action or notice o  | of |  |  |  |
|         |  | under 3               | a \$180.00 petit<br>(Filed after fina                | er 37 CFR 1.97(e), or fee set forth in all office action or r  | or 37 CFR 1.17(i)(1). notice of allowance, whichever occurs first, by  | ut |  |  |  |
|         | before payment of the issue fee)  I hereby certify that each item of information contained in this Information Disclosur Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Information Disclosur Statement. Under 37 C.F.R. 1.97(e)  |                       |  |  |  |    |  |  |  |
|         | tion, ple  | ase char              | rge any fees requ                                    | uired to Deposit A   | 761. At any time during the pendency of the account 19-0761 pursuant to 37 CFR 1.25. The ent to Deposit Account No. 19-0761. |    |  |  |  |
|         | Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided. |                       |  |  |  |    |  |  |  |
|         |  | ted Art i<br>1 098 4' | includes:  | 2. US 3883830  | 3 US 3938056   |    |  |  |  |

Docket No.: A-9512

4. US 4266204

5. US 4489281

| 7. US 6160452     |
|-------------------|
| 11.US 2002/097114 |

8. US 6433642

9.US 6788169

10.US 6836184

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

By:

SEND CORRESPONDENCE TO:

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On August 09, 2005.

Docket No.: A-9512

Faye Ropsk

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# U.S. Dept. of Commerce, Patent and Trademarks LIST OF ART CITED BY APPLICANT

|                           | y Docke  | t No.             | Serial No.   | Filing Date          |          |             |   |  |
|---------------------------|----------|-------------------|--------------|----------------------|----------|-------------|---|--|
| A-9512                    |          |                   | 10/825,994   | April 16, 2004       |          |             |   |  |
| Applica<br>Riggsby        |          |                   | Group 2631   | p                    |          |             |   |  |
| Niggsoy                   | / Ct ai. |                   | <del></del>  | T DOCUMENTS          | ****     |             |   |  |
| Exami<br>ner's<br>Initial |          | Document No.      | Date         | Name                 | Class    | Subclas     | S                                       |  |
|                           | AA       | US 3883830        | 5/13/1975    | Hekimian et al.      | 333      | 28R         |   |  |
|                           | AB       | US 3938056        | 2/10/1976    | Pond et al.          | 330      | 43          |   |  |
|                           | AC       | US 4266204        | 5/5/1981     | Jacoby et al.        | 333      | 28R         |   |  |
|                           | AD       | US 4489281        | 12/19/1984   | Kiyono et al.        | 330      | 129         |   |  |
|                           | AE       | US 6433642        | 8/13/2002    | Goyette William      | 330      | 304         |   |  |
|                           | AF       | US 6721427        | 4/13/2004    | Yamada<br>Kazutoshi  | 381      | 71.14       |   |  |
|                           | AG       | US 6788169        | 9/7/2004     | Schemmann et al.     | 333      | 177         |   |  |
|                           | AH       | US 6160452        | 12/12/2000   | Daughtry et al.      | 330      | 277         |   |  |
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|                           | AJ       | US<br>2002/097114 | 7/25/2002    | Nakano Yoshiaki      | 333      | 175         |   |  |
|                           |          |                   | ·            | ENT DOCUMENT         |          | • • •       |   |  |
|                           |          | Document No.      | Date         | Country              | Class    | Subclass    | Translatio                              |  |
|                           | AK       | EP 1098435        | 5/9/2001     |                      | H03F     | 1/32        | *************************************** |  |
|                           | AL       |                   |              |                      |          |             |   |  |
|                           | OTHE     | R PRIOR ART       | (Including A | uthor Title, Date, l | Pertiner | nt Pages, I | Etc.)                                   |  |
|                           | AM       |                   |              |                      |          |             |   |  |
|                           | AN       |                   |              |                      |          |             |   |  |
|                           | AO       |                   |              |                      |          |             |   |  |
| EXAM                      | INER     | <u> </u>          | <u> </u>     | DATE CONSIDERED:     |          |             |   |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance. Include copy of this form with next communication to Applicant.